

# DISCLOSURE DIVISION

- ☒ WAIVER REQUEST  
☐ ANSWER  
☐ RECONSIDERATION REQUEST  
☐ UNTIMELY

DATE: 6/17/2021

DOCKET #: 2021-500

Ashley Wimberley, Director  
Disclosure Division

## FILER INFORMATION

Name: Patricia Adams  
Address: 4818 Royal St., New Orleans, LA 70117  
Office/Position: Advocates for Excellence, Ben Franklin Charter School  
# of Disclosures/Amendments Filed with Agency: 8  
Years Covered: 2010-2016  
Final Report: Yes

## REPORT INFORMATION

Name of Report: Tier 3 Annual Personal Financial Disclosure covering calendar year 2016  
Report ID: PFD18012082  
Original Due Date: 5/15/2017  
NOD Received: 3/23/2018  
NOD Signed by: P. Adams  
PFD/Answer Due Date based on NOD: 4/4/2018  
PFD/Answer Filed: 11/27/2018

## LATE FEE INFORMATION

Amount of Late Fee: \$1500  
Days late from receipt of NOD: 237  
Total days late from initial due date: 561  
Late Fee Order Received: 3/9/2021  
Payment/Waiver Request Due Date: 3/29/2021  
Waiver Request Received: 3/10/2021

## COMMENTS:

Ms. Adams stated she served on Advocates of Excellence School Board from 2010 until June 2016 and was under the impression she was no longer required to file as she was no longer a board member.

She had never filed late before and it was not her intent to withhold information from the BOE.

She would like to Ethics Board to consider her previous years of service without a delinquency.

This is Ms. Adams first late fee assessment.

## OTHER LATE FEE INFORMATION

### Disclosure Statements:

- Other Outstanding Statements: No
- Other Outstanding Late Fees: No
- Prior Late Fees: No
- Reassessed Late Fees: No

### Campaign Finance:

- Outstanding Late Fees: No
- Prior Late Fees: No

March 10, 2021

Louisiana Board of Ethics  
P.O. Box 4368  
Baton Rouge, LA 70821

*Re: Late Fee Assessment - Report: PFD18012082  
Tier 3 Annual Financial Disclosure Statement for CY 2016*

Dear Sir or Madam:

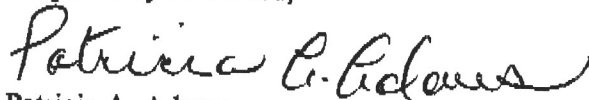
I recently received the enclosed Order to pay a late fee of \$1,500 for failure to timely file a Tier 3 Annual Personal Financial Disclosure Statement for CY 2016. I am writing to request a waiver or reduction of the late fee for the reasons set forth herein.

I served on the Board of Directors for the Advocates for Academic Excellence in Education from 2010 through 2016. AAEE is the governing board for Benjamin Franklin High School, a charter school in New Orleans. My term on the Ben Franklin Board expired on June 30, 2016. My records reflect that I was diligent in filing the required financial disclosure statements each year from 2010 through 2015. I failed to file the 2016 disclosure statement because I was under the mistaken impression that I no longer had to file after my term on the Board expired in June of that year.

The Order states that I received a Notice of Delinquency on March 23, 2018 requiring that I file the 2016 financial statement by April 4, 2018, but that I did not file the statement until November 27, 2018. During the time that I served on the Franklin Board, I put in countless hours of service to Ben Franklin – my son's alma mater. This fine seems like a steep price to pay for my volunteer efforts on behalf of the Ben Franklin community.

While my failure to respond in a timely manner to the Notice of Delinquency was an unfortunate oversight on my part, I can assure you that I had no intent to flout the law or withhold information. As noted above, I was diligent in filing disclosures each year from 2010 through 2015. I hope that you will take into account my previous compliance and good intentions in making the decision to waive, or reduce, the fine. Please do not hesitate to contact me should you have any questions or require additional information.

Respectfully submitted,



Patricia A. Adams

4818 Royal Street

New Orleans, LA 70117

504||296-8209

[Company Name]  
[Address, City, ST ZIP Code]  
[Telephone] | [Fax] | [Web Address]

# Fax

TO:	Louisiana Board of Ethics	FROM:	Patricia Adams
FAX:	225-381-7271	PAGES:	3
PHONE:	1-800-842-6630	DATE:	March 10, 2021
RE:	Late Fee Assessment	CC:	[Name]

☒ Urgent    ☐ For review    ☐ Please comment    ☐ Please reply    ☐ Please recycle

Comments: Enclosed please find my request for a waiver of a late fine assessment for failure to timely file a personal financial disclosure statement.

Respectfully submitted,

Patricia A Adams

**STATE OF LOUISIANA  
BOARD OF ETHICS**

**In Re: Tier 3 Annual Personal Financial Disclosure Statement 2016  
Advocates for Excellence, Ben Franklin Charter School  
Late Fee Assessment – Report: PFD18012082**

**ORDER**

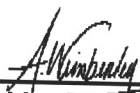
PATRICIA ADAMS was required to file a Tier 3 Annual Personal Financial Disclosure Statement for calendar year 2016 pursuant to La. R.S. 42:1124 et seq.

On March 23, 2018, PATRICIA ADAMS received a Notice of Delinquency for failing to file the disclosure statement. The notice required that the disclosure statement be filed by April 4, 2018 (pursuant to La. R.S. 42:1124.4). PATRICIA ADAMS filed the Tier 3 Annual Personal Financial Disclosure Statement for calendar year 2016 on November 27, 2018 and was 237 days late.

La. R.S. 42:1124.4 authorizes the assessment of a \$50 late fee per day (not to exceed \$1,500) against PATRICIA ADAMS for this late filing.

Accordingly, **IT IS ORDERED** that a late fee of \$1,500 be assessed against PATRICIA ADAMS for failing to timely file the Tier 3 Annual Personal Financial Disclosure Statement for calendar year 2016.

**ORDER** signed on the 1st day of March 2021 at Baton Rouge, Louisiana.

  
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Ashley Wimberley, Director  
Disclosure Division

CERTIFIED MAIL/RETURN RECEIPT: 70200090000054000151

Fax Received 16:08:58 2021-03-10